

Appendix 9.1 – Breakdown of internal transactions

Internal transactions to the WLP Omnibus account

In the following table we set out transfers to the WLP Omnibus account from other BBYL accounts identified as a result of the Transaction Matching Process (at high and medium–high match quality), together with the number and value matched to a Saxo and/or IB client account by the Client Matching Process.

BBYL - Payments from bank accounts to WLP Omnibus account matched to a Saxo and/or IB client account May 2014 to May 2015									
Remitting bank account (CSA/House)	Account number	Account pool	Value of deposits matched to Saxo and/or IB account	No. of deposits matched to a Saxo and/or IB account	Value of deposits not matched to a Saxo and/or IB account	No. of deposits not matched to a Saxo and/or IB account	Total value of deposits	Total no. of deposits	Note
BBY Ltd General Account	553452576	House	-	-	20	1	20	1	
BBY Ltd Clearing Account	553581319	House	-	-	20	1	20	1	
Broker Services Australia Pty	16-929-0840	House	1,000	1	-	-	1,000	1	
BBY Limited Operating Ac	16-929-0891	House	6,000	1	-	-	6,000	1	
Smartrader Ltd	17-682-0205	WLP Omnibus	-	-	61,892	2	61,892	2	
BBY Limited Trust Ac3	16-665-5349	Other Trust	-	-	1,000	1	1,000	1	
BBY Ltd Client Trust Account	553452541	ETO/Equity	2,000	1	10,000	2	12,000	3	
BBY Ltd Equities Trust Ac	12-407-8694	ETO/Equity	10,000	1	-	-	10,000	1	
BBY Limited - Trust EUR	204226EUR01	FX	14,000	1	-	-	14,000	1	1
BBY Limited - FX Trust JPY	204226JPY01	FX	10,000	1	-	-	10,000	1	1
BBY Limited - FX Trust USD	204226USD01	FX	29,381	9	19,516	3	48,897	12	1
BBY Ltd FX Trust	553619268	FX	54,000	7	54,263	10	108,263	17	
BBY Ltd Futures	553619284	Futures	13,000	4	-	-	13,000	4	
BBY Ltd Saxo Buffer	553619356	Saxo	10,500	3	209,464	2	219,964	5	
Total			149,881	29	356,175	22	506,056	51	

Note 1 - These transactions have been converted into the equivalent AUD currency at spot rate

Internal transactions to the IB Buffer account

In the following table we set out transfers to the IB Buffer account from other BBYL accounts identified as a result of the Transaction Matching Process (at high and medium–high match quality), together with the number and value matched to an IB client account by the Client Matching Process.

BBYL - Payments from bank accounts to IB Buffer account matched to an IB client account May 2014 to May 2015									
Remitting bank account (CSA/House)	Account number	Account pool	Value of deposits matched to an IB account	No. of deposits matched to an IB account	Value of deposits not matched to an IB account	No. of deposits not matched to an IB account	Total value of deposits	Total no. of deposits	Note
BBY Ltd General account	553452576	House	-	-	50,000	1	50,000	1	
BBY Ltd Client Trust account	553452541	Equity/ETO	42,000	2	1,000	1	43,000	3	
BBY Limited - Trust EUR	204226EUR01	FX	1,517	1	-	-	1,517	1	1
BBY Limited - FX Trust USD	204226USD01	FX	6,661	1	90,229	8	96,890	9	1
BBY Ltd FX Trust	553619268	FX	172,949	5	85,017	11	257,966	16	
BBY Ltd Futures	553619284	Futures	9,200	6	1,050	1	10,250	7	
BBY Limited - SAXO Buffer NZD	204226NZD03	Saxo	-	-	43,330	1	43,330	1	1
BBY Limited - SAXO Buffer	553619356	Saxo	968,683	96	1,572,670	126	2,541,353	222	
BBY Limited - WLP Omnibus	553619137	WLP Omnibus	20,000	1	1,264,137	233	1,284,137	234	
Total			1,221,010		3,107,433		4,328,443	494	

Note 1 - these transactions have been converted into the equivalent AUD currency at spot rate