

Registration and maintenance

valueforfunds.com

Cross-border distribution of UCITS and AIF funds on a global level.

Our team of experienced and multilingual people can...

- ...assist you in creating, executing and maintaining global cross-border distribution by helping you remain or become compliant in more than 70 jurisdictions.
- ...help you reduce your time-to-market by outsourcing the authorisation process.
- ...benefit your business by bringing established relationships with financial authorities.

KPMG provides fund distribution services for...

- ...over 700 different fund ranges, both UCITS and AIFs, for structures such as SICAV, FCP, SIF, SCA., RAIF, ICAV, PLC, etc.
- ...funds domiciled in Luxembourg, Ireland, Belgium, Cayman Islands, France, the Netherlands, Switzerland and the UK.
- ...funds registered in various jurisdictions across Europe, the Middle East, Asia and South America.

Furthermore, we conduct ongoing research on funds in many other areas worldwide (EEA, other European countries, the Americas, the Middle East, Africa, Asia and the Pacific).



Detailed features

Analysis of the requirements in various jurisdictions: following this, we provide advice and assistance in order to notify/register the funds in Europe and abroad.

Document collection and preparation

Compiling of notification files: we compile and then send them to host authorities.

Fund Distribution Application (FDA): a fully digital platform encompassing the Fund Distribution Module centralising registration information and operations in one place, the Fund Analytics Module with key performance indicators (KPIs) evaluating KPMG's adherence to service level agreements (SLAs) and the Fund Knowledge Module reflecting our regulatory information and monitoring activities.

Maintenance services: following notification/registration we help take care of:

- transmission of updated documents to host authorities
- coordination of translations and review of investor notices
- deregistration of sub-funds and share classes
- notification of additional sub-funds and share classes
- information regarding mergers of sub-funds and share classes

Synergies with related outsourceable investment services that can be outsourced: at KPMG, Fund Distribution Services is integrated with our other solutions for funds such as our UCITS KIID Factory, Reporting Services and Tax Services.



For contact details please go to: valueforfunds.com



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Cross-border compliance of marketing materials

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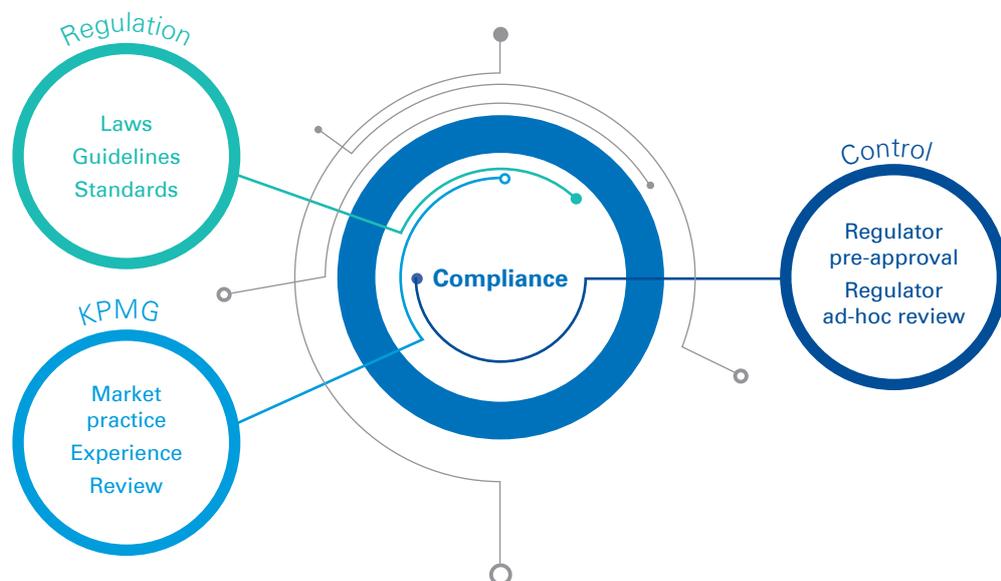
We can help ensure that your marketing material is compliant.

Based on our experience of working with financial authorities, market practices and regulations in place, we can advise you on how to ensure that your pre-contractual marketing material intended for either retail or professional investors, or both, is compliant with European regulations by:

- keeping your message fair, clear and not misleading
- checking that your message satisfies main information conditions, as well as any relevant country specific requirements
- accurately depicting your data, the nature of the investment and its associated risks

We can review the following marketing tools:

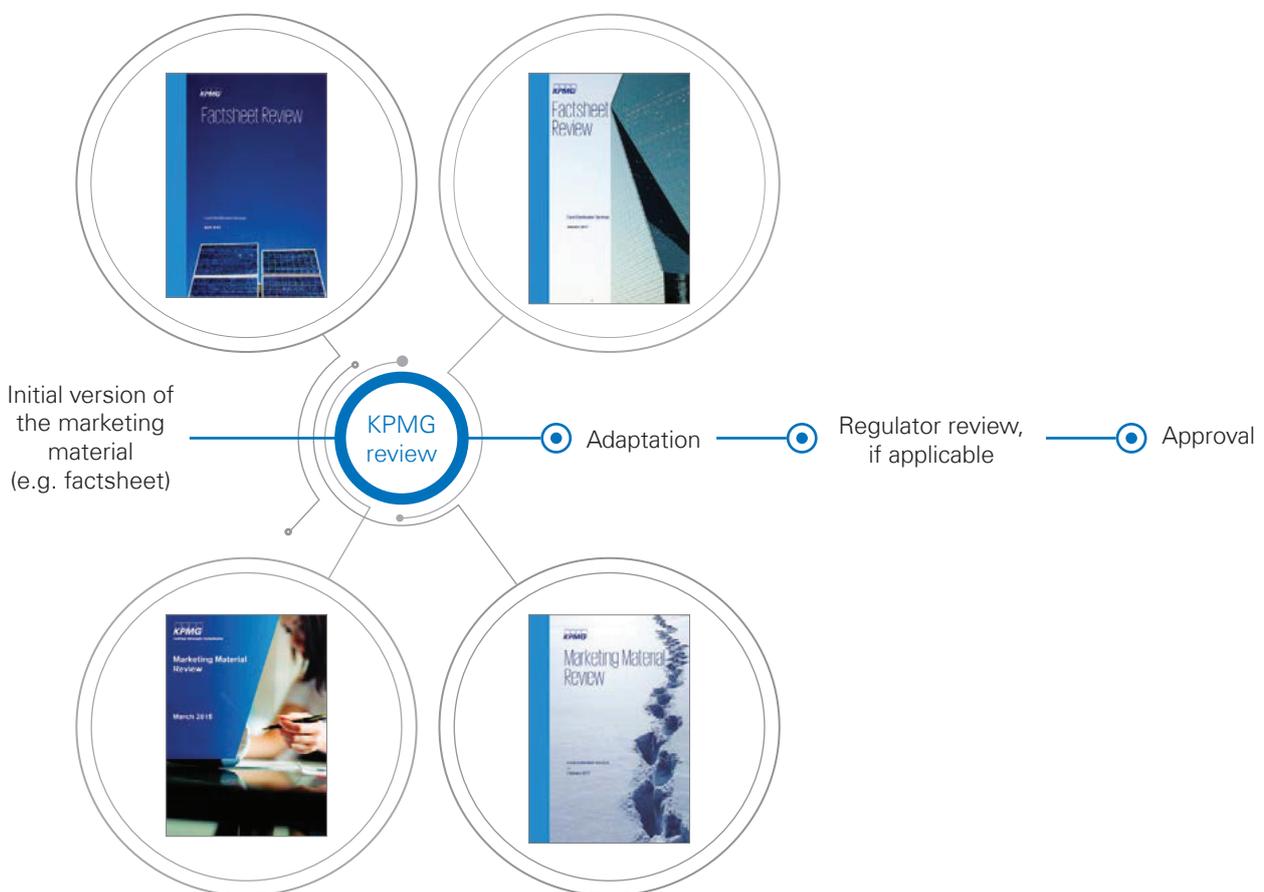
- fund documentation (factsheets, brochures, etc.)
- advertisements
- presentations
- websites
- videos



Detailed features

- Following the principle “fair, clear and not misleading”, marketing material is thoroughly analysed in order to ensure full compliance with local requirements in various jurisdictions in Europe and abroad.
- In jurisdictions where regulatory pre-approval is required, we include in our review the submission to, and correspondence with, financial authorities.
- Where little or no predefined regulation is in place, the most extensive framework is applied to avoid potential issues.

Review process



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